



Oklahoma 21<sup>st</sup> Century Community Learning Centers  
Program Risk Analysis

Grantee: \_\_\_\_\_

Date: \_\_\_\_\_

Program Director: \_\_\_\_\_

Grant Year: \_\_\_\_\_

Site Director 1/Coordinator 1: \_\_\_\_\_

Number of Sites: \_\_\_\_\_

Site Director 2/Coordinator 2: \_\_\_\_\_

	Risk Factors	Pts.	Comments
<b>TIER 3</b>	1. New Program/Administrator/Staff		
	2. Funds not spent in a timely manner		
	3. Lack of attendance at mandatory 21st CCLC meetings		
	4. Failure to submit required reports in a timely manner		
	5. Carryover funds in excess of allowed amount each year		
	6. Lack of response to SDE correspondence and requests		
	7. Lack of clear roles and responsibilities at grantee level		
	8. Issues with program implementation and/or fiscal compliance (e.g., audit findings, OCAS data)		
	9. A formal complaint against grantee pertaining to federal funds has been filed with OSDE		
<b>TIER 2</b>	10. Completed a Compliance Plan		
	11. Data Reporting incomplete/incorrect		
	12. Participating numbers below proposal projections		
	13. Did not meet maintenance of effort		
	14. Last monitoring visit was more than 2-3 years ago		
	15. History of Performance		
<b>TIER 1</b>	16. Last monitoring visit was 1 year ago		
	17. Larger grant award		
	18. Geographic distance from SDE		
	19. Large purchases made		

Action Needed:  Priority Monitoring Visit     Priority Site Visit     Regular T/A

Action Scheduled: \_\_\_\_\_

Reviewer: \_\_\_\_\_

Review Date: \_\_\_\_\_